Docket No.

Receipt date: 09/01/2006 292850US0PCT

Makoto KIHARA, et al.

### PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: SERIAL NO:

10/583.869

FILED:

June 21, 2006

FOR:

FUNCTIONAL COMPONENT-ENRICHED BARLEY MALT ROOTLETS AND PROCESS FOR PRODUCING

THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR-

Applicant(s) wish to disclose the following information.

#### REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F Ohlon

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James H. Knebel Registration No. 22,630

lecelpt date: 09/01/2006		10583869 - GAU: 178
O P E Une	Docket No.: 292850US0PCT	Serial No.: 10/583,869
85ER 0 1 1000	Inventor: Makoto KIHARA, et al	L.
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Filing Date: June 21, 2006	Group:

## LIST OF RELATED CASES

Examiner <u>Initial</u>	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App. <u>Publication No.</u>	Inventor or Applicant
	292829US0PCT	10/584,317	06/23/06		OKADA, et al.
	292850US0PCT*	10/583,869	06/21/06		KIHARA, et al.
	292489US0PCT	10/582,397	06/12/06		KIHARA, et al.
	292788US0PCT	10/583,866	06/21/06		KIHARA, et al.

Examiner

/Hong Mehta/

Date Considered

05/24/2010

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /H.M./

<sup>\*</sup>Present Application; listed for information NFO/ach/kch